

## EDITORIAL II

# On the reversal of new oral anti-coagulants: can we simply extrapolate data from the animal models to humans?

K. A. Tanaka<sup>1\*</sup> and D. Bolliger<sup>2</sup>

<sup>1</sup> Department of Anesthesiology, University of Pittsburgh Medical Center, 200 Lothrop Street, Pittsburgh, PA PUH C-215, USA

<sup>2</sup> Department of Anaesthesia and Intensive Care Medicine, University of Basel Hospital, Basel, Switzerland

\* Corresponding author. E-mail: tanakak@upmc.edu

New oral anti-coagulant agents including direct factor Xa inhibitors (anti-Xa) and direct thrombin inhibitors (anti-IIa) are rapidly entering into clinical practice. These agents have been recently licensed in many countries for post-operative prophylaxis of thromboembolic events after total hip or knee replacement surgery, and they are becoming important options for long-term anti-coagulation therapy for venous thromboprophylaxis, or stroke prevention in non-valvular atrial fibrillation.<sup>1</sup> On the one hand, these drugs are a welcome change for the patients because they seem to provide reliable anti-thrombotic effects without cumbersome laboratory testing and food restrictions.<sup>1</sup> On the other hand, the lack of specific antidotes for these agents poses major challenges in the perioperative care and bleeding management.<sup>2–4</sup>

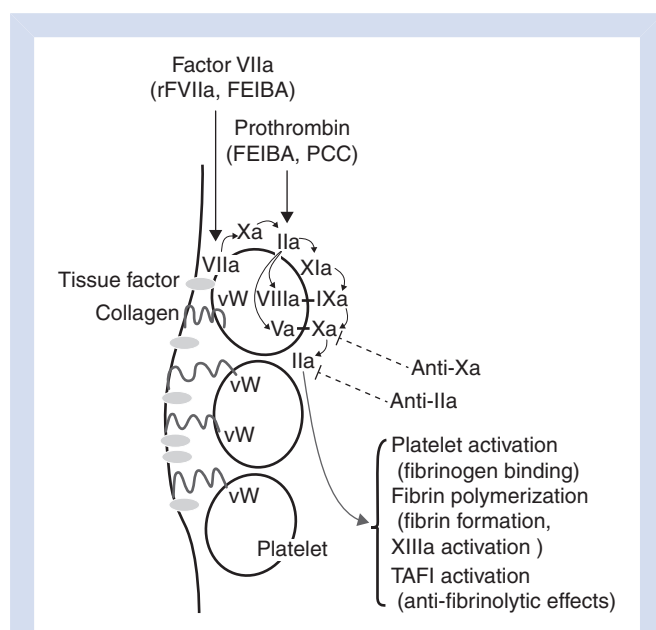
Anaesthetists and intensivists have previously learnt the difficulty of managing the balance of haemostasis and thrombosis through perioperative uses of argatroban, bivalirudin, and lepirudin.<sup>5–6</sup> While clinical efficacy and relative safety of new oral anti-coagulants have been demonstrated, our knowledge remains limited on the management of bleeding related to these agents.<sup>3–4, 7–8</sup> It is thus reasonable to select a potentially useful agent by testing it in a standardized animal bleeding model for haemostatic efficacy. Indeed, there have been a number of animal studies that have examined the reversibility of anti-IIa (melagatran and dabigatran) and anti-Xa (rivaroxaban and edoxaban) using the factor concentrate, including recombinant activated factor VII (rFVIIa), factor VIII bypassing agent (FEIBA), and prothrombin complex concentrate (PCC). The models, anti-coagulants, and agents are summarized in Table 1.<sup>9–18</sup> Although ximelagatran has been withdrawn from market due to liver toxicity, early experimental data on melagatran (active metabolite of ximelagatran) remain pertinent as it exerts anti-IIa kinetics similar to dabigatran.<sup>19</sup> Understanding potential usefulness and limitations of specific experimental models and agents is important because there is a risk of thrombosis with such haemostatic intervention in high-risk patients.<sup>20–21</sup> Pertinent animal studies of anti-IIa and anti-Xa agents

widely vary in terms of animal types, vascular injury (timing, location, and extent), and coagulation tests utilized (Table 1). These differences might have led to the mixed results in the efficacy of a specific intervention, and further limit their applicability to human conditions. For example, haemostatic activity of rFVIIa is critically dependent on exposed tissue factor (TF) at the site of vascular injury.<sup>22</sup> However, TF expression is a time-limited event<sup>23</sup> because locally accumulated platelets *in vivo* prevent TF from binding to FVII (Fig. 1). Most experimental designs involved pre-treating animals with a haemostatic agent, followed by inducing a vascular injury. It is likely that the therapeutic window for an intervention is much narrower in clinical bleeding because haemostatic agents are only administered after a variable interval after the vascular injury, and the diagnosis of haemorrhage.<sup>3–4</sup>

Further, species specificity for anti-coagulants and haemostatic agents is an important consideration. The dose necessary to inhibit thrombosis by 50% (ED<sub>50</sub>) after oral administration of rivaroxaban differs from 0.6 to 5 mg kg<sup>-1</sup> in rabbits and rats, respectively, in the arteriovenous shunt model.<sup>25</sup> Therapeutic responses to rFVIIa vary among species as well. The mouse requires much higher rFVIIa doses (3–10 mg kg<sup>-1</sup>) compared with human and primate doses (90–270 µg kg<sup>-1</sup>).<sup>11–26</sup> Injecting human coagulation proteins in various species may not only alter coagulation factor interactions, but also coagulation assay results. The endogenous thrombin potential could be improved when human thrombin is generated in rabbit plasma, but haemostasis was not improved *in vivo*.<sup>17</sup> Elevated thrombin–anti-thrombin (TAT) complex reflects procoagulant responses in the host animals after rFVIIa, FEIBA, and PCC administration,<sup>9–10, 16–18</sup> but prothrombin time (PT) and activated prothrombin time (aPTT) are not suitable for monitoring anti-IIa and anti-Xa agents, nor for predicting therapeutic effects of haemostatic agents.<sup>27</sup> The latter tests also exclude dynamic interactions of platelets, coagulation factors, and inhibitors (anti-IIa or anti-Xa) which take place *in vivo*.<sup>7–17</sup> Lastly, the location and extent of vascular injury can be important determinants of experimental outcomes.

**Table 1** Animal models, agents, and doses for anti-Xa and anti-IIa reversal. ‘~’, no change; ‘↓’, decrease; ‘↑’, increase; IU, international unit; BT, bleeding time; ICH, intracranial haemorrhage; CFR, cyclic flow reduction (in the carotid artery); PT, prothrombin time; APTT, activated partial thromboplastin time; ECT, ecarin clotting time; WBCT, whole blood clotting time; ACT, activated clotting time; TT, thrombin time; TAT, thrombin–anti-thrombin complex level; TEM, thromboelastometry; TG, thrombin generation

Model	Injury	Anticoagulant	Agent/dose	Endpoints	Reference
Rat	Tail BT	Melagatran 0.5–2.0 $\mu\text{g kg}^{-1} \text{h}^{-1}$	FEIBA 25–400 IU $\text{kg}^{-1}$ rFVIIa 1–10 mg $\text{kg}^{-1}$	↓BT, ↓ECT, ↓PT, ↑aPTT ~BT, ↓WBCT, ↓PT	Elg and colleagues <sup>9</sup>
Rat	Tail BT	Melagatran 0.8 $\mu\text{g kg}^{-1}$ + 2 $\mu\text{mol kg}^{-1} \text{h}^{-1}$	FEIBA 200 IU $\text{kg}^{-1}$ rFVIIa 1 mg $\text{kg}^{-1}$ PCC ~200 IU $\text{kg}^{-1}$	↓BT, ↓WBCT, ~aPTT, ↑TAT ~BT, ↓WBCT, ~aPTT, ↑TAT ↓BT, ↑WBCT, ↑aPTT, ↑TAT	Elg and colleagues <sup>10</sup>
Rabbit	Ear BT	Melagatran 0.8 $\mu\text{g kg}^{-1}$ + 2 $\mu\text{mol kg}^{-1} \text{h}^{-1}$	FEIBA 200 IU $\text{kg}^{-1}$ rFVIIa 1 mg $\text{kg}^{-1}$ PCC ~200 IU $\text{kg}^{-1}$	↓BT, ↓WBCT, ~aPTT ↓WBCT, ~ACT, ~aPTT ~BT, ↑WBCT, ↑aPTT	Elg and colleagues <sup>10</sup>
Baboon	Skin BT, AV shunt	Melagatran 0.6 mg $\text{kg}^{-1} \text{h}^{-1}$	FEIBA 100 + 150 IU $\text{kg}^{-1}$ rFVIIa 90 + 180 $\mu\text{g kg}^{-1}$	~BT, ↑shunt thrombus ↓BT, no effect on shunt thrombus	Gruber and colleagues <sup>11</sup>
Rat	Tail BT	Dabigatran 0.5 $\mu\text{mol kg}^{-1} \text{h}^{-1}$ for 25 min	FEIBA 50 or 100 IU $\text{kg}^{-1}$ rFVIIa 0.1 or 0.5 mg $\text{kg}^{-1}$	↓BT, ~aPTT ↓BT, ↓aPTT (dose-dependent)	van Ryn and colleagues <sup>12</sup>
Mouse	Tail BT, ICH	Dabigatran 4.5 or 9.0 mg $\text{kg}^{-1}$	PCC 100 U $\text{kg}^{-1}$ rFVIIa 8.0 mg $\text{kg}^{-1}$ Murine plasma 200 $\mu\text{l}$	↓BT, ↓haematoma expansion No effects on BT or haematoma expansion No effects on BT or haematoma expansion	Zhou and colleagues <sup>13</sup>
Mouse	Tail transection	Dabigatran 60 mg $\text{kg}^{-1}$ p.o.	FEIBA 100 IU $\text{kg}^{-1}$ rFVIIa 3 mg $\text{kg}^{-1}$ PCC 14.3 IU $\text{kg}^{-1}$ PCC 14.3 IU $\text{kg}^{-1}$ + rFVIIa 3 mg $\text{kg}^{-1}$	~Blood loss, ↓BT, ~aPTT ~Blood loss, ↓BT, ↓aPTT ~Blood loss, ↓BT, ~aPTT ~Blood loss, ↓BT, ↓aPTT, ↓TT	Lambourne and colleagues <sup>14</sup>
Rabbit	Kidney incision	Dabigatran 0.4 mg $\text{kg}^{-1}$	PCC 20, 35, 50 IU $\text{kg}^{-1}$	↓Blood loss, ↓BT, ↓PT, ~aPTT, improved TEM, ↑TG peak (dose-dependent)	Pragst and colleagues <sup>15</sup>
Rat	Tail BT	Rivaroxaban 1.3 mg $\text{kg}^{-1}$	PCC 50 IU $\text{kg}^{-1}$	↓BT, ↓PT, ↑TAT	Perzborn and colleagues <sup>16</sup>
Rabbit	Spleen/liver incision, Ear BT, CFR	Rivaroxaban 5 mg $\text{kg}^{-1}$	PCC 40 IU $\text{kg}^{-1}$ rFVIIa 150 $\mu\text{g kg}^{-1}$	~Blood loss, ~BT, ↓PT, ↓aPTT, improved TEM, ~CFR ~Blood loss, ↓BT, ↓PT, ↓aPTT, improved TEM, ↓lagtime of TG, ~CFR	Godier and colleagues <sup>17</sup>
Rat	Skin BT	Edoxaban 1 mg $\text{kg}^{-1} \text{h}^{-1}$	FEIBA 50 or 100 IU $\text{kg}^{-1}$ rFVIIa 0.3–3 mg $\text{kg}^{-1}$	↓BT, ↓PT (dose-dependent) ↓BT, ↓PT, ↑TAT (dose-dependent), no increased venous thrombus	Fukuda and colleagues <sup>18</sup>



**Fig 1** After the vascular injury, TF and collagen are locally expressed. TF binds to activated factor VII (VIIa) in plasma, and generates activated factor X (Xa), and subsequently trace amounts of thrombin (IIa). Platelet adhesion to collagen via von Willebrand factor (vW) covers the injury site, physically limiting TF activity. The subsequent procoagulant responses are thus driven by thrombin-mediated activation of intrinsic tenase (IXa–VIIIa) and prothrombinase (Xa–Va) on the activated platelet surface. rFVIIa and FEIBA can augment the initial amount of VIIa for early thrombin generation. FEIBA and PCC supply more prothrombin to increase thrombin generation on the activated platelet surface. Anti-Xa agents (apixaban, edoxaban, rivaroxaban, etc.) inhibit Xa and Xa–Va complex, and thrombin generation on the platelet surface is obtunded. Anti-IIa agents (argatroban, dabigatran, etc.) inhibit IIa, and prevent ongoing platelet activation, fibrin polymerization, and clot stabilization (e.g. activation of thrombin-activated fibrinolysis inhibitor; TAFI).

PCC concentrates ( $50\text{--}100\text{ IU kg}^{-1}$ ) have been shown to be effective against dabigatran by reducing murine intracranial haematoma expansion induced by collagen injection,<sup>13</sup> and decreasing bleeding after kidney incision in rabbits.<sup>15</sup> However, PCC ( $40\text{ IU kg}^{-1}$ ) and rFVIIa ( $150\text{ }\mu\text{g kg}^{-1}$ ) failed to decrease bleeding from liver and splenic incisions in rivaroxaban-treated rabbits.<sup>17</sup> FEIBA ( $250\text{ IU kg}^{-1}$ ), but not rFVIIa ( $270\text{ }\mu\text{g kg}^{-1}$ ), increased the deposition of thrombus in the arteriovenous vascular shunt in melagatran-treated animals.<sup>11</sup>

In conclusion, pre-clinical animal data on haemostatic agents for the reversal of anti-Xa and anti-IIa drugs are encouraging, but they fail to provide sufficient information on the optimal timing, dose(s), and efficacy in humans. Given the lack of clinical evidence of haemostatic agents for anti-IIa and anti-Xa reversal in a variety of clinical scenarios,<sup>28–29</sup> the need for rFVIIa, FEIBA, or PCC for patients with uncontrolled bleeding must be individually assessed by the treating physician according to the institutional

transfusion guideline and the available consensus.<sup>30</sup> It is also important to combine other available measures (e.g. activated charcoal, dialysis, topical haemostatics) with i.v. agents, so that the dose requirement can be minimized for the latter.

## Declaration of interest

None declared.

## References

- Eikelboom JW, Weitz JI. New anticoagulants. *Circulation* 2010; **121**: 1523–32
- Schulman S, Crowther MA. How I treat with anticoagulants in 2012: new and old anticoagulants, and when and how to switch. *Blood* 2012; **119**: 3016–23
- Garber ST, Sivakumar W, Schmidt RH. Neurosurgical complications of direct thrombin inhibitors—catastrophic hemorrhage after mild traumatic brain injury in a patient receiving dabigatran. *J Neurosurg* 2012; **116**: 1093–6
- Warkentin TE, Margetts P, Connolly SJ, Lamy A, Ricci C, Eikelboom JW. Recombinant factor VIIa (rFVIIa) and hemodialysis to manage massive dabigatran-associated postcardiac surgery bleeding. *Blood* 2012; **119**: 2172–4
- Walker CP, Royston D. Thrombin generation and its inhibition: a review of the scientific basis and mechanism of action of anticoagulant therapies. *Br J Anaesth* 2002; **88**: 848–63
- Crowther MA, Warkentin TE. Bleeding risk and the management of bleeding complications in patients undergoing anticoagulant therapy: focus on new anticoagulant agents. *Blood* 2008; **111**: 4871–9
- Alexander JH, Lopes RD, James S, et al. Apixaban with antiplatelet therapy after acute coronary syndrome. *N Engl J Med* 2011; **365**: 699–708
- Harper P, Young L, Merriman E. Bleeding risk with dabigatran in the frail elderly. *N Engl J Med* 2012; **366**: 864–6
- Elg M, Carlsson S, Gustafsson D. Effect of activated prothrombin complex concentrate or recombinant factor VIIa on the bleeding time and thrombus formation during anticoagulation with a direct thrombin inhibitor. *Thromb Res* 2001; **101**: 145–57
- Elg M, Carlsson S, Gustafsson D. Effects of agents, used to treat bleeding disorders, on bleeding time prolonged by a very high dose of a direct thrombin inhibitor in anesthetized rats and rabbits. *Thromb Res* 2001; **101**: 159–70
- Gruber A, Carlsson S, Kotze HF, Marzec U, Sarich TC, Hanson SR. Hemostatic effect of activated factor VII without promotion of thrombus growth in melagatran-anticoagulated primates. *Thromb Res* 2007; **119**: 121–7
- van Ryn J, Stangier J, Haertter S, et al. Dabigatran etexilate—a novel, reversible, oral direct thrombin inhibitor: interpretation of coagulation assays and reversal of anticoagulant activity. *Thromb Haemost* 2010; **103**: 1116–27
- Zhou W, Schwarting S, Illanes S, et al. Hemostatic therapy in experimental intracerebral hemorrhage associated with the direct thrombin inhibitor dabigatran. *Stroke* 2011; **42**: 3594–9
- Lambourne MD, Eltringham-Smith LJ, Gataiance S, Arnold DM, Crowther MA, Sheffield WP. Prothrombin complex concentrates reduce blood loss in murine coagulopathy induced by warfarin, but not in that induced by dabigatran etexilate. *J Thromb Haemost* 2012; **10**: 1830–40

- 15 Pragst I, Zeitler SH, Doerr B, et al. Reversal of dabigatran anticoagulation by prothrombin complex concentrate (Beriplex P/N) in a rabbit model. *J Thromb Haemost* 2012; **10**: 1841–8
- 16 Perzborn E, Trabandt A, Selbach K, Tinel H. Prothrombin complex concentrate reverses the effects of high-dose rivaroxaban in rats. *Pathophysiol Haemost Thromb* 2010; **37**: YA10–OC251
- 17 Godier A, Miclot A, Le Bonniec B, et al. Evaluation of prothrombin complex concentrate and recombinant activated factor VII to reverse rivaroxaban in a rabbit model. *Anesthesiology* 2012; **116**: 94–102
- 18 Fukuda T, Honda Y, Kamisato C, Morishima Y, Shibano T. Reversal of anticoagulant effects of edoxaban, an oral, direct factor Xa inhibitor, with haemostatic agents. *Thromb Haemost* 2012; **107**: 253–9
- 19 Eisert WG, Huel N, Stangier J, Wienen W, Clemens A, van Ryn J. Dabigatran: an oral novel potent reversible nonpeptide inhibitor of thrombin. *Arterioscler Thromb Vasc Biol* 2010; **30**: 1885–9
- 20 Pabinger I, Brenner B, Kalina U, Knaub S, Nagy A, Ostermann H. Prothrombin complex concentrate (Beriplex P/N) for emergency anticoagulation reversal: a prospective multinational clinical trial. *J Thromb Haemost* 2008; **6**: 622–31
- 21 Levi M, Levy JH, Andersen HF, Truloff D. Safety of recombinant activated factor VII in randomized clinical trials. *N Engl J Med* 2010; **363**: 1791–800
- 22 Butenas S, Brummel KE, Branda RF, Paradis SG, Mann KG. Mechanism of factor VIIa-dependent coagulation in hemophilia blood. *Blood* 2002; **99**: 923–30
- 23 Monroe DM, Hoffman M. What does it take to make the perfect clot? *Arterioscler Thromb Vasc Biol* 2006; **26**: 41–8
- 24 Goldstein JN, Thomas SH, Frontiero V, et al. Timing of fresh frozen plasma administration and rapid correction of coagulopathy in warfarin-related intracerebral hemorrhage. *Stroke* 2006; **37**: 151–5
- 25 Laux V, Perzborn E, Kubitz D, Misselwitz F. Preclinical and clinical characteristics of rivaroxaban: a novel, oral, direct factor Xa inhibitor. *Semin Thromb Hemost* 2007; **33**: 515–23
- 26 Tranholm M, Kristensen K, Kristensen AT, Pyke C, Rojkaer R, Persson E. Improved hemostasis with superactive analogs of factor VIIa in a mouse model of hemophilia A. *Blood* 2003; **102**: 3615–20
- 27 van Veen JJ, Spahn DR, Makris M. Routine preoperative coagulation tests: an outdated practice? *Br J Anaesth* 2011; **106**: 1–3
- 28 Marlu R, Hodaj E, Paris A, Albaladejo P, Crackowski JL, Pernod G. Effect of non-specific reversal agents on anticoagulant activity of dabigatran and rivaroxaban: a randomised crossover ex vivo study in healthy volunteers. *Thromb Haemost* 2012; **108**: 217–24
- 29 Eerenberg ES, Kamphuisen PW, Sijpkens MK, Meijers JC, Buller HR, Levi M. Reversal of rivaroxaban and dabigatran by prothrombin complex concentrate: a randomized, placebo-controlled, crossover study in healthy subjects. *Circulation* 2011; **124**: 1573–9
- 30 Ageno W, Gallus AS, Wittkowsky A, Crowther M, Hylek EM, Palareti G. Oral anticoagulant therapy: Antithrombotic Therapy and Prevention of Thrombosis, 9th ed: American College of Chest Physicians Evidence-Based Clinical Practice Guidelines. *Chest* 2012; **141**: e44S–88S